

Welcome to Summer 2016



OTT SharePoint

OTT is currently in the process of redesigning the look and feel of the OTT SharePoint site. The short term goal is to establish a standard Global Header and Left Navigation menu for all of the OTT SharePoint pages. It will make it easier to find pages and documents, as well as accelerate training of new users. We will also use this standard template when creating new pages, so we can maintain the same look and feel throughout the entire site.

Please Don't Ignore Them

Are you receiving docket updates, reports and e-mail notifications from NIH TechTracS and/or OTT SharePoint? There is usually a good reason why you are receiving the "alerts". Please don't ignore them. You may need to take action on a patent filing, approve an invoice, sign a

license agreement, or review a royalty report. If you don't know what to do with an alert or think you are receiving them in error, please ask a senior Licensing and Patenting Manager or your supervisor for help. If they can't help, submit a CIT HelpDesk ticket and ask OTT for assistance. Maybe an NIH TechTracS field needs to be updated with the correct Licensing and Patenting Manager, maybe we need to update the contact for a particular report in SharePoint, or maybe we can help you understand what action you need to take. Please don't ignore the notices and alerts.

IC Program Specialist Monthly Meetings

The next IC Program Specialist Meeting will be held on Wednesday, July 6th, at 2:00, in the OTT Conference Room B. Bruce Goldstein and Gina Thomas from OTT's Monitoring and Enforcement Branch have been invited to attend. Bruce and Gina will be reviewing MEU's routing processes and procedures. Thanks to John Devany (NHLBI) for chairing this next meeting. We encourage Program Specialists to come in person and network.

Technology Transfer Community Staff

We are adding a new section to our newsletter to introduce to the community new, returning or transferred staff.

Introducing.....



Aida Cremesti joined NCI TTC and is supporting NCI intramural labs in both licensing/patenting and tech transfer transactions. Aida

returned to NCI after 5 years as Director of Intellectual Property at the Henry Jackson Foundation. She also worked in the tech transfer office at Walter Reed Army Institute of Research. Previously Aida was a fellow with NIH OTT and with NCI-TTC. Aida holds a Ph.D. in Cell Biology and did post-doctoral work at Memorial Sloan Kettering Cancer Center and at NCI.

Jim Knabb joined NCI TTC and is supporting NCI intramural labs in both licensing/patenting and tech transfer transactions. Jim returned to NCI after a year at Georgetown



University as a Licensing Associate, where he managed Georgetown inventions and agreements from cradle to grave. Prior to Georgetown, Jim was a CRTA fellow with NCI TTC, and before that a marketing fellow at NIH OTT. Jim attended the University of Chicago where he received his Ph.D. in Cancer Biology following the receipt of his bachelor's degree from Penn State.

Laura Prestia joined NCI TTC as a CRTA fellow where her training spans tech transfer transactions, licensing and patenting. She is also a co-founder of the NCI Technology Transfer Ambassadors



Program to empower post-doctoral innovation and entrepreneurial lab to

market mindset. Prior to joining NCI, Laura was an IRTA fellow within the CDC Unit at the NIH OTT (which moved to NIAID). Prior to joining the NIH, Laura received her Ph.D. in Neuroscience from the State University of New York (SUNY) Upstate Medical University. During graduate school, Laura also worked with two Syracuse-based incubators, SUNY Upstate's technology transfer office, completed a year-long interdisciplinary Technology Transactions course at Syracuse University College of Law, and volunteered with the New York State Science and Technology Law Center.

Jasmine Yang joined NCI TTC as a Licensing and Patenting Manager overseeing a docket for NCI investigators in Frederick. She was previously a LPM at the NIDDK's Technology Advancement Office managing patenting and licensing for the NIDDK



and NIAAA. Her government tech transfer career began as a CRTA fellow at the NCI's Technology Transfer Center. She completed her PhD from Boston University specializing in neuroendocrinology and postdoctoral research at Massachusetts General Hospital and Brown University.

Updated Royalty Distribution Form (RDF)

The Royalty Distribution Forms have been updated to reflect changes from the reorganization and requests received from OTT and IC staff. Check out the attached sample to see the highlighted changes.

Licensing and Patenting Manager Training Working Group

Have you heard – Sue Ano is taking the lead to develop the Licensing and Patenting Manager Training Guide. Many from the various ICs are also working to develop the “How to Guides” for the various topics. Want to help, contact your supervisor or Technology Development Coordinator to volunteer.

Why is the “Subject to Royalty Sharing Via IIA or Settlement Agr?” Field Important?

Licensee: Good Medicine Pharmaceuticals

Subject to Royalty Sharing Via IIA or Settlement Agr? [] No [X] Yes L-148-2007/0, L-179-2007/0,
L-180-2007/0, L-181-2007/0

Technologies

This section of the RDF alerts the OTT Royalties Administer and the Office of Financial Management Royalty Coordinator that there is a related IIA or Settlement Agreement that may require royalty sharing. When new RDFs are generated, NIH TechTracS will automatically add the license numbers of the related agreements to this section. ICs need to review and remove or update this information to reflect only the IIAs or Settlement Agreements that have terms requiring the NIH to share royalties.

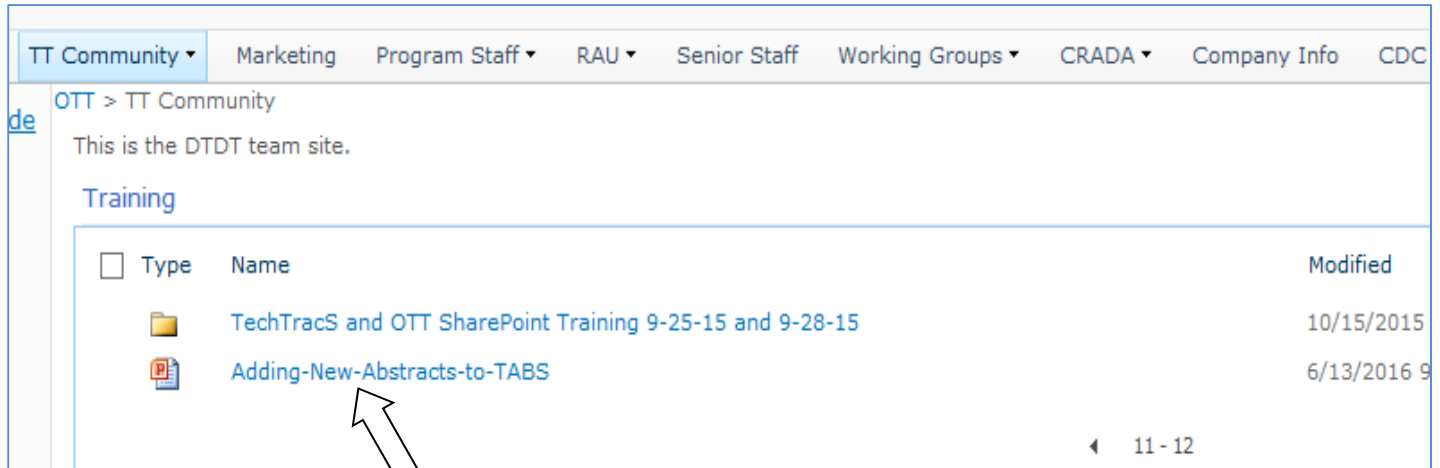
ICs Can Now Add or Update Abstracts on the OTT Web Site

A new guide has been developed and added to the TT Community area of the OTT SharePoint Site called “Adding-New-Abstracts-to-TABS”. Thanks to Elaine Ray in OTT Marketing for her outstanding efforts on this detailed guide. ICs can now add or update the abstract information in the NIH TechTracS and the information will be posted or updated on the OTT Web Site – Licensing Opportunities -- by the next day. They are located at:

(<http://www.ott.nih.gov/opportunities>). Access rights to this portion of the NIH TechTracS will be granted after completion of training and with approval from the IC Technology Development Coordinator. The document is located at the following location:

<https://spweb.od.nih.gov/OTT/DTDT/Training/Adding-New-Abstracts-to-TABS.pptx>.

OTT Marketing will also continue to add or update the information for ICs as needed.



License Amendments and Monitoring and Enforcement Unit's Role

You may have had the opportunity to meet with the new Monitoring and Enforcement Unit (MEU) Chief, Bruce Goldstein, who visited with the ICs and Services Centers over the past couple of months to discuss the services provided by MEU. One of the important services provided by this unit is the negotiation and completion of license amendments. The Technology Transfer Working Group wanted to make sure that the community had a clear understanding of MEU's role in this process.

- The NIH default is that MEU will negotiate all amendments to license agreements, whether completed prior to FY 2016 or subsequently.

- ICs have the option of negotiating any/all amendments to license agreements in their purview, on consultation with and agreement of MEU (Bruce Goldstein).
- ICs, and not MEU, will sign all license amendments.

ICs are encouraged to contact the Monitoring and Enforcement Unit staff if there are any questions regarding the negotiation and processing of license amendments.



Look at the Awesome Accomplishments of our Community

This is the first year that the Technology Transfer Community has developed a joint annual report. Thanks to Ajoy Prabhu and Karen Maurey for taking the lead! Also kudos to the following staff for their efforts pulling together IC submissions, reviewing, and/or contributing to the professional formatting:

Elaine Ray, Michele Newton, Ben Chambers, Sue Ano, Charles Salahuddin, Amika Rudisill, Anna Solowiej, Jennifer Wong, David Bradley, Haiqing Li, Mario Carranza, Thomas Stackhouse, Mayra Alvarez Lopez, Anna Amar, Rebecca Read, Claire Driscoll, and Mike Mowatt.

The 2015 Annual Report can be found at: <http://www.ott.nih.gov/annual-reports>.



Exciting Times at OTT!

As you are all aware, OTT has submitted the paperwork to request funding for the new integrated Technology Transfer system. In order to have a successful system build and data transfer, the community will need



Stephen Finley

to have subject matter expertise (SME) on the technology transfer processes and data elements.

Deb Kasilke says "We all know there is no one more skilled and versed in this area than Dr. Stephen Finley. We have asked Stephen to shift his efforts to that of being our SME for all things data and tech transfer - and we are thrilled that he has agreed!" As of June 26th, Stephen will shift from his current role within the Intramural Technology Transfer Portfolio Management branch and will instead focus his expertise on tech transfer processes and data related tasks required to build the new system. Thank you Steve for agreeing to assist the TT community in this important new effort!



Tim Leahy

During the TT reorganization, Deb Kassilke was thrilled to bring Tim Leahy into OTT on a detail to assist in the reorganization with all matters related to IT systems (TechTracS) and document management (SharePoint) and he was charged with building and executing the project plan to make these systems accessible and useable for the Tech Transfer community. He has been on a detail to OTT since March of 2015, and we are excited to report that as of June 26th, Tim is an OTT employee! Tim has agreed to step in as Supervisor of the Intramural Technology Transfer Portfolio Management Branch and to continue his efforts managing and coordinating all tasks associated with the new Integrated Technology Transfer System.

Just Can't Get Enough

At the request of our readers, we now have an archive of past issues of the Technology Transfer Community Newsletters on the OTT SharePoint Site at the following location:

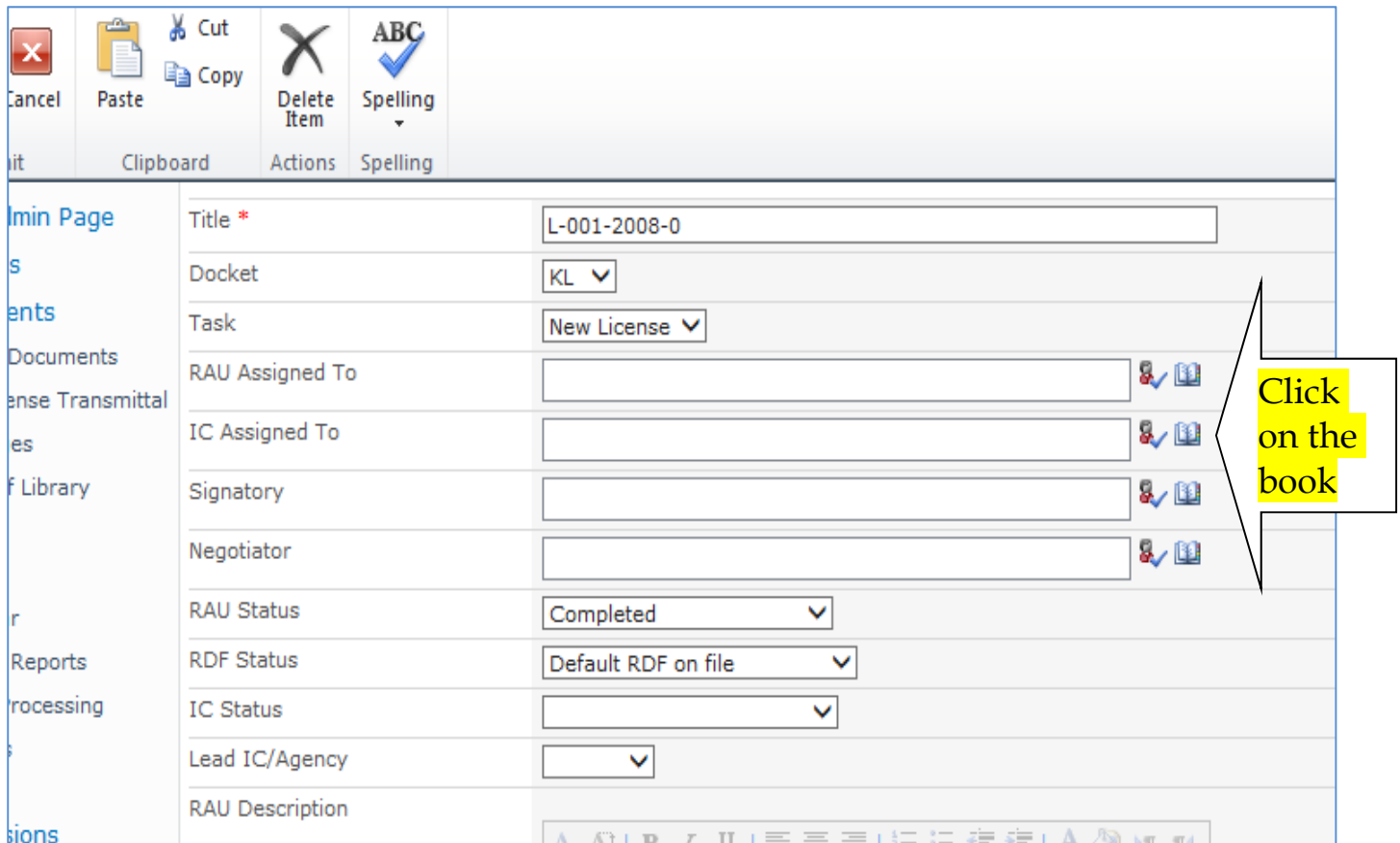
<https://spweb.od.nih.gov/OTT/DTDT/TT%20Community%20Newsletters/Forms/AllItems.aspx>

Congratulations to Bruce!

It is with great excitement that we share with you the news that OTT's own Bruce Goldstein has been asked to present at the February Association of University Technology Managers National Meeting on monitoring and enforcement of patent licenses. This is a HUGE honor!

How Do I Select the Correct Name for the “Assigned To” Fields in SharePoint?

SharePoint offers a number of options when you click on the “book” icon to select another name to assign a task. How do you know which one to pick?

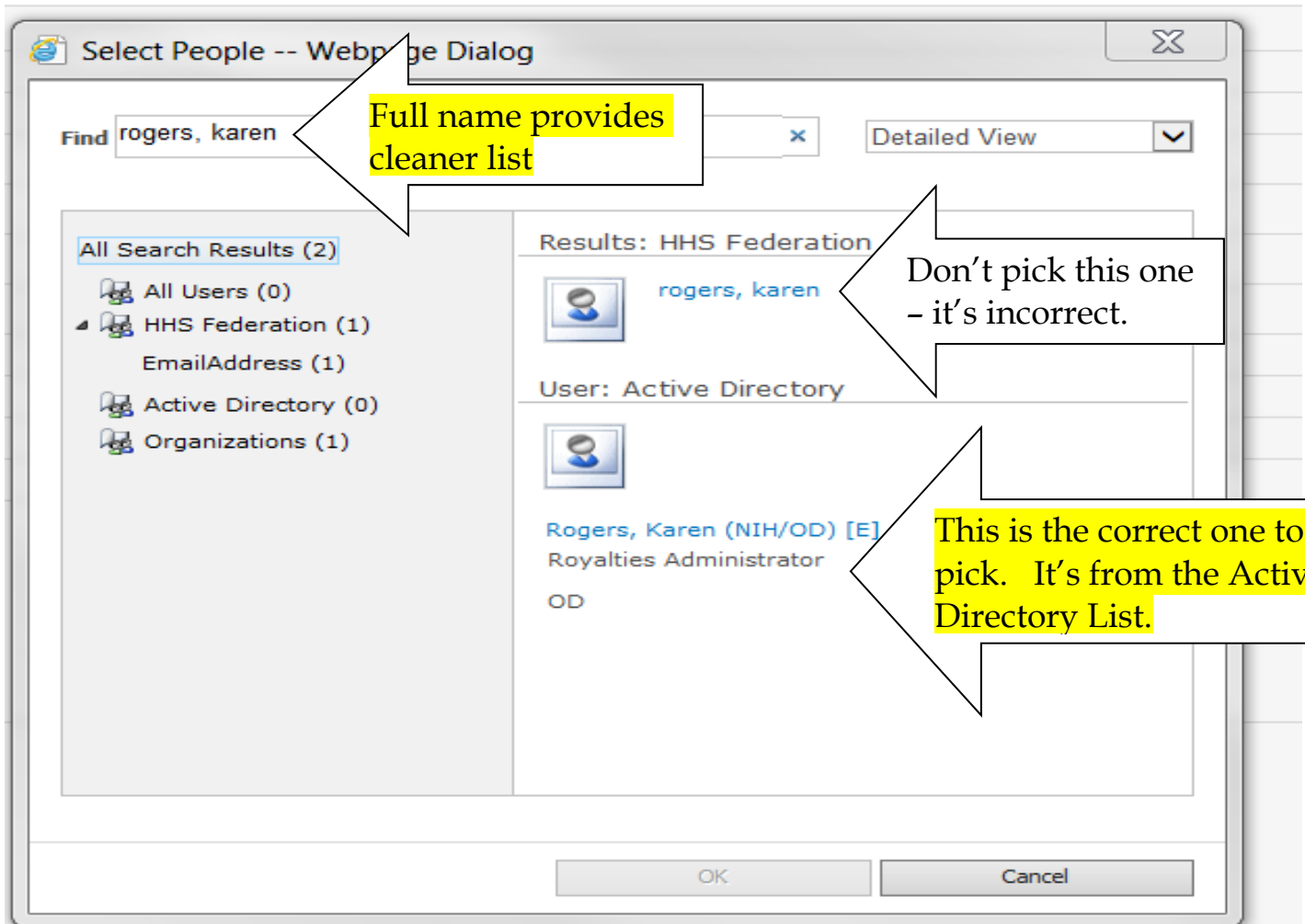


The screenshot shows a SharePoint form with a ribbon at the top containing 'Cancel', 'Paste', 'Copy', 'Delete Item', and 'Spelling'. The form fields include:

- Title *: L-001-2008-0
- Docket: KL
- Task: New License
- RAU Assigned To: [Empty field with a 'book' icon]
- IC Assigned To: [Empty field with a 'book' icon]
- Signatory: [Empty field with a 'book' icon]
- Negotiator: [Empty field with a 'book' icon]
- RAU Status: Completed
- RDF Status: Default RDF on file
- IC Status: [Empty dropdown]
- Lead IC/Agency: [Empty dropdown]
- RAU Description: [Empty text area]

A callout box with a yellow background and black border points to the 'book' icon in the 'RAU Assigned To' field, containing the text: **Click on the book**

The more of the name you enter in the “Find” field, the better your list will be to select the correct assignment. Don’t pick the option under HHS Federation. The option under “User: Active Directory” will give you the correct option. This will also ensure that the individual will receive the e-mail alert notifying them that the task has been assigned to them.



Thanks for reading and staying informed. Got ideas for the next issue - e-mail them to RogersK@nih.gov.

ROYALTY DISTRIBUTION FORM

IC must complete and submit this form to NIH OTT Royalties Admin Unit. The completed form should be forwarded through the OTT SharePoint site.

LICENSE INFORMATION

Generation Date: 06/23/2016 **Generated by: RogersK** Due Date: 07/23/2016

New License Amendment Amended Distribution

License Application	License Number	Lead Institute	Fiscal Year	License Type	License Category
A-439-2005	L-159-2007/0	NHLBI/	2007	New	Patent-Commercial

Licensee: Good Medicine Pharmaceuticals

Subject to Royalty Sharing Via IIA or Settlement Agr? No Yes L-148-2007/0, L-179-2007/0,
L-180-2007/0, L-181-2007/0

Technologies

	<u>Reference No.</u>	<u>Lead IC</u>	<u>Title</u>
A	E-254-2003/2	NHLBI	Treatment Of Cardiovascular Conditions Using Nitrite Therapy
B	E-254-2003/3	NHLBI	Treatment Of Specific Cardiovascular Conditions Using Nitrite Therapy

Inventors

<u>Inventor's Name</u>	<u>Orig IC</u>	<u>Technologies</u>	<u>Inv Total</u>	<u>Default Inventor %</u>	<u>Alternate Inventor %</u>
~XXXXXXXX	CC	A	1	11.12	
XXXXXXXXXX	NHLBI	A, B	2	22.22	
XXXXXXXXXX	NIDDK	A, B	2	22.22	
XXXXXXXXXX	NHLBI	B	1	11.11	
XXXXXXXXXX	NINDS	B	1	11.11	
XXXXXXXXXX	NINDS	B	1	11.11	
XXXXXXXXXX	NHLBI	B	1	11.11	
Totals:			9	100	

<u>ICs</u>	<u>Technologies</u>	<u>IC Total</u>	<u>Default IC %</u>	<u>Alternate IC %</u>
CC	A	1	20	
NHLBI	A, B	4	40	
NIDDK	A, B	2	20	
NINDS	B	2	20	
Totals:			9	100

IC DESIGNATION OF RELATIVE SHARES OF ROYALTIES

Default Formula Alternative Distribution

ROYALTY DISTRIBUTION FORM

Reviewed by Negotiator

NAME	SIGNATURE	DATE
Susan M. Carson		

IC AUTHORIZING OFFICIAL(S)

IC	NAME	SIGNATURE	DATE
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CC

NHLBI

NIDDK

NINDS

Remarks Patent Prosecution Reimbursement should be distributed to the ICs based on expenses incurred. KR